300 W. JEFFERSON ST., SUITE 300

## APPLICATION FOR STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE

Email completed application, supporting documents, and a copy of payment to LCC.Licensing@illinois.gov Payments shall be made by check or money order through the mail within 3-7 business days to either office location.

DEFINITIONS: A manufacturer is every brewer, fermenter, distiller, rectifier, wine maker, blender, processor, bottler or person who fills or refills an original package, whether for himself or for another, and others engaged in brewing, fermenting, distilling, rectifying or bottling alcoholic liquors as above defined. To manufacture means to distill, rectify, ferment, brew, make, mix, concoct, process, blend, bottle or fill an original package with an alcoholic liquor, whether for oneself or for another, and includes blending but does not include the mixing or other preparation of drinks for serving by those persons authorized and permitted in this Act to serve drinks for consumption on the premises where sold. All containers or packages of blended alcoholic liquors shall have affixed thereto a label setting forth and stating clearly the names of all ingredients which the blended alcoholic liquors offered for sale shall contain.
For ALL of the following license classes, please provide the REQUIRED supporting documentation with this application:

- Registration Statement
- Copy of Federal Label Approval(s).
- Copy of Federal Basic Permit or Federal Brewer's Notice. To download the Federal Tax and Trade Bureau's F-5100.24 \& F 5100.31 forms visit www.ttb.gov or call 1877 882-3277
- Rights to the Property (e.g. lease or deed)

If applicable, enclose IL 567-0054, Statement of Representation Registration of Manufacturer's Agent.

If applicable, enclose IL 567-0053, Application for Registration Manufacturer's Registered Agent.

SEE SECTION 8 FOR DEFINITIONS

## DISTILLER

FEE:
\$5,000.00
A Distiller is a person who distills, ferments, brews, makes, mixes, concocts, processes, blends, bottles or fills an original package with any alcoholic liquor. A distiller may make sales and deliveries of alcoholic liquor to distillers, rectifiers, importing distributors, distributors, and non-beverage users and to no other licensees. This includes a manufacturer of wine, but does not include a manufacturer of beer or bottler of wine.

## RECTIFIER

FEE:
\$5,000.00
A Rectifier is any person who rectifies, ferments, brews, makes, mixes, concocts, processes, blends, bottles or fills an original package with any alcoholic liquor, other than by original or continuous distillation.

## BREWER

FEE:
\$1,500.00
A Brewer may make sales and deliveries of beer to importing distributors and distributors and may make sales as authorized under subsection (e) of Section 6-4 of this Act, including any alcoholic liquor that subsection (e) of Section 6-4 authorizes a brewer to sell in its original package only to a non-licensee for pick-up by a non-licensee either within the interior of the brewery premises or at outside of the brewery premises at a curb-side or parking lot adjacent to the brewery premises, subject to any local ordinance.

For ALL of the following license classes, please provide the REQUIRED supporting documentation with this applicaiton:

- Registration Statement
- Copy of Federal Label Approval(s).
- Copy of Federal Basic Permit or Federal Brewer's Notice.

To download the Federal Tax and Trade Bureau's F-5100.24 \& F 5100.31 forms visit www.ttb.gov or call 1877 882-3277 for further information

If applicable, enclose IL 567-0054, Statement of Representation Registration of Manufacturer's Agent.

If applicable, enclose IL 567-0053, Application for Registration Manufacturer's Registered Agent.

## SEE SECTION 8 FOR DEFINITIONS

A first class wine-manufacturer may make sales and deliveries of up to 50,000 gallons of wine to manufacturers, importing distributors and distributors, and to no other licensees. If a first-class wine-manufacturer manufactures beer, it shall also obtain and shall only be eligible for, in addition to any current license, a class 1 brewer license, shall not manufacture more than 930,000 gallons of beer per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 930,000 gallons of beer per year. If the first-class wine-manufacturer manufactures spirits, it shall also obtain and shall only be eligible for, in addition to any current license, a class 1 craft distiller license, shall not manufacture more than 50,000 gallons of spirits per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 50,000 gallons of spirits per year. A first-class wine-manufacturer shall be permitted to sell wine manufactured at the first-class wine-manufacturer premises to non-licensees.

FEE:
\$1,750.00

A wine-manufacturer means a person who is engaged in the manufacture of wine. A second-class wine-manufacturer may make sales and deliveries of more than 50,000 gallons of wine to manufacturers, importing distributors and distributors and to no other licensees.

## FIRST-CLASS WINE MAKER

FEE:
$\$ 900.00$
A first-class wine-maker's license shall allow the manufacture of up to 50,000 gallons of wine per year, and the storage and sale of such wine to distributors in the State and to persons without the State, as may be permitted by law. If a first-class wine-maker manufactures beer, it shall also obtain and shall only be eligible for, in addition to any current license, a class 1 brewer license, shall not manufacture more than 930,000 gallons of beer per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 930,000 gallons of beer per year. If the first-class wine-maker manufactures spirits, it shall also obtain and shall only be eligible for, in addition to any current license, a class 1 craft distiller license, shall not manufacture more than 50,000 gallons of spirits per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 50,000 gallons of spirits per year. A first-class wine-maker holding a class 1 brewer license or a class 1 craft distiller license shall not be eligible for a wine-maker's premises license but shall be permitted to sell wine manufactured at the first-class wine-maker premises to nonlicensees.

A second-class wine-maker's license shall allow the manufacture of up to 150,000 gallons of wine per year, and the storage and sale of such wine to distributors in this State and to persons without the State, as may be permitted by law. If a second-class winemaker manufactures beer, it shall also obtain and shall only be eligible for, in addition to any current license, a class 2 brewer license, shall not manufacture more than $3,720,000$ gallons of beer per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than $3,720,000$ gallons of beer per year. If a second-class wine-maker manufactures spirits, it shall also obtain and shall only be eligible for, in addition to any current license, a class 2 craft distiller license, shall not manufacture more than 100,000 gallons of spirits per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 100,000 gallons of spirits per year.

[^0]For ALL of the following license classes, please provide the REQUIRED supporting documentation with this applicaiton:

- Registration Statement
- Copy of Federal Label Approval(s).
- Copy of Federal Basic Permit or Federal Brewer's Notice.

To download the Federal Tax and Trade Bureau's F-5100.24 \& F 5100.31 forms visit www.ttb.gov or call $1877882-3277$ for further information

If applicable, enclose IL 567-0054, Statement of Representation Registration of Manufacturer's Agent.

If applicable, enclose IL 567-0053, Application for Registration Manufacturer's Registered Agent.

## SEE SECTION 8 FOR DEFINITIONS

## LIMITED WINE MANUFACTURER

FEE:
\$350.00
A limited wine-manufacturer may make sales and deliveries not to exceed 40,000 gallons of wine per year to distributors and to nonlicensees in accordance with the provisions of the Illinois Liquor Control Act. A limited wine manufacturer uses only grapes, berries, other fruits, fruit products, honey and vegetables produced or grown in Illinois, except as defined in the Illinois Liquor Control Act, Section 5/1-3.31.

## - CRAFT DISTILLER

FEE:
\$2,500.00
A craft distiller license shall allow the craft distiller to apply for either a Class 1 Craft Distiller License or a Class 2 Craft Distiller License, but not both.


## Application for State of Illinois Manufacturer's Liquor License

## 1. APPLICANT - CORPORATE INFORMATION

## A. FEIN

Enter your Federal Employer Identification Number (FEIN) in this box. The FEIN is a nine-digit number issued by the Internal Revenue Service. This number is used for verification purposes only. If you do not have a FEIN, call 1800 829-3676 for general information on how to apply for and obtain the forms you need.
B. ILLINOIS SALES TAX ACCOUNT ID

Enter the eight-digit Illinois Department of Revenue Sales Tax Account ID. YOU MUST HAVE THIS NUMBER IN ORDER FOR A LICENSE TO BE ISSUED. If you need to obtain this number, visit tax.illinois.gov, click on "Businesses" and then "How do I Register" under the Business Registration section. If you have any questions, call 217 785-3707.

## C. TELEPHONE

Enter the area code/telephone number/extension of the corporation, partnership, etc.

| AREA CODE/TELEPHONE NO. |  |
| :--- | :--- |
| $(\mathrm{Cl}$ |  |

D. COUNTY

Enter the county where the corporation, partnership etc. is located.

| COUNTY |
| :--- |
|  |
|  |

E. CORPORATE NAME (Also list DBA name if different from corporate name)

Enter the name of the sole proprietorship, partnership, corporation (Illinois, national, or foreign), or limited liability company in this box. Note: this name must be consistent with name printed on your local liquor license and your Illinois Department of Revenue Sales Tax Certificate.

| CORPORATE NAME | DBA NAME |
| :--- | :--- |
|  |  |

F. BUSINESS ADDRESS/MAILING ADDRESS

Enter the street address, city, state, and ZIP Code of the sole proprietorship, corporation, etc..

| ADDRESS | CITY |  | STATE | ZIP CODE | COUNTY |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |

G. CONTACT INFORMATION

Provide the contact information for your business. The contact person should be the responsible party we can contact and who can answer questions on behalf of the business. The mobile or alternate number should be in addition to any business numbers on file. The email address should be the active email address for the business, not the personal email address of the contact person.

| CONTACT PERSON'S NAME (First, Last) | BUSINESS PHONE NUMBER | ALTERNATE PHONE NUMBER (Home, Cell, etc.) |
| :---: | :---: | :---: |
|  | ( ) | ( ) |
| EMAIL ADDRESS |  | FAX NUMBER |
|  |  | ( ) |

## 2. STATUS OF BUSINESS

Check the applicable box (sole proprietorship, partnership, Illinois corporation, foreign corporation, or limited liability company) which corresponds to your business' official papers filed with the Office of the Illinois Secretary of State.
Based on the box that you check, provide: the date of the filing of the sole proprietorship with the county clerk; in the case of a partnership, the date of formation of the partnership; in the case of an Illinois corporation, the date of its incorporation; in the case of a foreign corporation, the foreign state where it was incorporated and the date, as well as the date of its becoming qualified under the "Business Corporation Act of 1983 " to transact business in the State of Illinois; or in the case of a limited liability company, the date of formation of such entity.
A. $\square$ Sole Proprietorship
B.Partnership
C. $\square$Illinois Corporation
D.Foreign Corporation
E. $\square$Limited Liability Company

Date filed with County Clerk: $\qquad$
Date of Formation: $\qquad$
Date of Incorporation:
State of Incorporation: $\qquad$ Date Qualified to do Business in IL: $\qquad$ Date Formed: $\qquad$

## 3. OWNERSHIP INFORMATION

Provide the owner/officer/partner information in accordance with the business status described under Question 2. This information must be submitted for all owners/officers/partners. The same information must be submitted for shareholders with interests equal to or exceeding five percent.

The following information must be provided for each individual applicant, sole proprietor, partner, corporate officer or director (whether or not they own any stock), shareholder owning in the aggregate stock equal to or more than five percent (including officers, directors and shareholders with stock equal to or more than five percent for all corporate shareholders), and/or manager or agent conducting the business. Indicate the total percentage of stock of the corporation, if any, which is held by persons who hold less than a five percent interest. All not-for-profit organizations and associations must provide the requested information for all corporate officers, directors and managers. If additional space is needed, provide information on a separate sheet(s) in the same format as this application. Before completing this section, check the questions in Section 6 - Eligibility.
For each owner/officer/partner/five percent shareholder, provide full name, home address, city, state, ZIP Code, Social Security number, date of birth, sex, title/position, home telephone number, and percentage ownership. Total percentage ownership should equal 100 percent. If there are a number of shareholders owning less than five percent, indicate the aggregate total of ownership under Line $E$.
A.

B.

| NAME (LAST, FIRST, MIDDLE INITIAL) | HOME ADDRESS | CITY | STATE | ZIP |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |
| SOCIAL SECURITY NO. | DATE OF BIRTH | SEX | TITLE/POSITION |  |  |  |
|  |  |  |  | $\mathbf{( 1 )}$ |  |  |

C.

D.

| NAME (LAST, FIRST, MIDDLE INITIAL) | HOME ADDRESS | CITY | STATE | ZIP |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |
| SOCIAL SECURITY NO. | DATE OF BIRTH | SEX | TITLE/POSITION |  |  |
|  |  |  |  | $\mathbf{( 1 )}$ |  |

E. Total percentage of all stock held by all persons with less than five percent interest.

## 4. MISCELLANEOUS INFORMATION

## A. WAREHOUSING

If any of your inventory is warehoused, provide the name, street address, city, state, Zip Code and county of the warehouse.

| ADDRESS | CITY | STATE | ZIP CODE | COUNTY |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |

## B. LEASED PREMISES

If you lease your premises, the lease must cover the full term of the license. If you lease, provide the landlord's name, telephone number, street address, city, state, Zip Code and county.

| LANDLORD NAME |  | AREA CODE/TELEPHONE NO. |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | $(\quad)$ |  |  |  |
| ADDRESS | CITY | STATE | ZIP CODE | COUNTY |
|  |  |  |  |  |

## 5. LICENSE HISTORY

A. FIRST LICENSE APPLICATION - LICENSE HISTORY

Indicate by checking the correct box whether or not this is the corporation's, sole proprietorship's, etc's first application for a State liquor license at any premises. If you check "no", indicate the date of your first State liquor license application. Also indicate whether the license was granted, denied or withdrawn. Provide the address of your first State liquor license application. If you have ever had a license application denied or if you ever withdrew an application, please provide a written statement describing the reason and circumstances.

IS THIS YOUR FIRST STATE LICENSE APPLICATION?
YES $\qquad$ NO $\qquad$

IF NO, PROVIDE DATE FIRST APPLIED:
DISPOSITION:GRANTED
$\square$ DENIEDWITHDRAWN

ADDRESS OF FIRST STATE APPLICATION: $\qquad$

## 6. ELIGIBILITY QUESTIONS

These questions apply to the applicant and any other person listed under Question 3. These questions MUST be answered. IF THE QUESTIONS ARE NOT CHECKED, THE APPLICATION WILL BE REJECTED. If any question is checked "yes," a written, detailed explanation is required and must be attached to this application.

| 6-18 | $\square$ YES | $\square$ | NO | Are you delinquent in the payment of any Illinois business taxes (sales, withholding, etc.)? |
| :---: | :---: | :---: | :---: | :---: |
| 6-22 | $\square$ YES | $\square$ | NO | Have you ever applied for and been denied a liquor license? |
| 6-23 | $\square$ YES | $\square$ | NO | Have you had any previous liquor license revoked? |
| 6-24 | $\square$ YES | $\square$ | NO | Have you ever been convicted of a felony? |
| 6-25 | $\square$ YES | $\square$ | NO | Have you ever been convicted of a gambling offense as defined under Section 5/6-2 of the Act which includes offenses enumerated in 720 ILCS 5/28-1(a)1-11, "Gambling;" 720 ILCS 5/28-1.1(a)-(d) "Syndicated Gambling;" and 720 ILCS 5/28-3 "Keeping a Gambling Place"? |
| 6-26 | $\square$ YES | $\square$ | NO | Do you possess a current federal wagering stamp (issued by the U.S. Internal Revenue Service to tax wagering activity)? |
| 6-28 | $\square$ YES | $\square$ | NO | Have you received or borrowed money or anything of value directly or indirectly from any other licensees, representatives of a licensee, or suppliers of alcoholic products? |
| 6-30 | $\square$ YES | $\square$ | NO | If operating as a sole proprietorship or a partnership, are you or your partner(s) currently not citizens of the United States or resident aliens with legal status? |

## 7. HOURS OF OPERATION

List the daily hours open for business. This information will assist Commission field agents in choosing an inspection time which causes the least disruption to the business.

| MON | TUES | WED | THURS | FRI | SAT | SUN |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |

## 8. MANUFACTURER'S REGISTERED AGENT REQUIREMENTS

If you have agents, representatives or persons acting on your behalf in Illinois that sell or discuss pricing terms of alcoholic liquor, you are required to register each of these individuals by submitting forms IL 567-0053 "Application for Registration - Manufacturer's Registered Agent," and IL 567-0054 "Statement of Representation - Registration of Manufacturer's Agent".

## 9. SIGNATURE/TITLE/DATE

Please sign and date the application form and provide your title with the organization. The application must be signed by an owner, an officer, a partner or an officially authorized agent of the business. The signature must be an original. Rubber stamps, photocopies, and faxed copies are not accepted.
I, THE UNDERSIGNED APPLICANT OR AUTHORIZED AGENT THEREOF, SWEAR OR AFFIRM THAT: THE MATTERS STATED IN THE FOREGOING APPLICATION ARE TRUE AND CORRECT; THEY ARE MADE UPON MY PERSONAL KNOWLEDGE AND INFORMATION; THEY ARE MADE FOR THE PURPOSE OF REQUESTING THE STATE OF ILLINOIS TO ISSUE THE LICENSE HEREIN APPLIED FOR; THE APPLICANT IS QUALIFIED AND ELIGIBLE TO OBTAIN THE LICENSE APPLIED FOR; AND THE APPLICANT WILL NOT VIOLATE ANY OF THE LAWS OF THE UNITED STATES OF AMERICA OR THE STATE OF ILLINOIS, IN PARTICULAR, THE ILLINOIS LIQUOR CONTROL ACT, RULES AND REGULATIONS, AND THE CIVIL RIGHTS SECTIONS THEREOF. FURTHER, I AGREE TO NOTIFY THIS COMMISSION WITHIN 30 WORKING DAYS OF CHANGES IN ANY OF THE ABOVE INFORMATION. (NOTE: IF THE PERSON SIGNING THIS APPLICATION IS NOT LISTED IN SECTION 3, THEY MUST PROVIDE THE STATE WITH THEIR PERSONAL INFORMATION AS INDICATED IN SECTION 3 EVEN IF THEY DO NOT OWN FIVE PERCENT OR MORE OF THE BUSINESS.)

## Who must submit a bond?

Form REG-4-A, Financial Responsibility Bond, or Form REG-4-D, Financial Institution Irrevocable Letter of Credit Bond, is required to complete your registration to be licensed as a

- cigarette distributor - tobacco products distributor
- cigarette machine operator
- motor fuel distributor
- liquor distributor
- motor fuel supplier
- direct wine shipper - motor fuel receiver
- liquor airline

Note: International Fuel Tax Agreement (IFTA) carriers may be required to obtain a bond.
You must complete either Form REG-4-A or Form REG-4-D to obtain a bond. You will not be issued a certificate of registration and cannot be legally registered to do business in Illinois until we receive your bond.

## How do I obtain a bond using Form REG-4-A?

You must provide Form REG-4-A to the insurance company that will be providing your bond. A separate bond is required for each location.

## What is required for Form REG-4-A?

All signatures and seals must be original. We will not accept copies, faxes, etc., as proof of bond coverage. Duplicate originals must be marked as such and must meet the same requirements as the original bond form. You must attach a power of attorney stating the attorney-in-fact's name.
If any of the above requirements are not met, we will not accept the bond and it will be returned for correction.

## Where do I send my Form REG-4-A?

Mail your completed Form REG-4-A with any required attachments to us at


CENTRAL REGISTRATION DIVISION 3-222 ILLINOIS DEPARTMENT OF REVENUE PO BOX 19039 SPRINGFIELD IL 62794-9039
If you have questions regarding Form REG-4-A, visit our website at tax.illinois.gov or call us weekdays between 8:00 a.m. and 4:30 p.m. at 217 558-7425.

## Specific Instructions

## Part 1: Financial responsibility bond type and number

Line a, Bond type - The bond type must match the tax type for which the bond is being issued.
Line b, Financial responsibility bond number - This number is assigned by the surety company and must be present on the bond.

## Part 2: Taxpayer and financial institution information

Taxpayer's name and address - The name and address must be identical to the information that you have registered with us. The address must be the physical location of your business; mailing addresses, PO Boxes, and other addresses are not acceptable.
Name and address of surety - The name and address of the surety company executing the bond must be present.
Bond amount - The amount of bond coverage must be entered on this line.
Notification of cancellation - The surety company may conditionally cancel the bond by filing a written notice with IDOR by registered or certified mail within 90 days.

## Part 3: Financial responsibility bond signatures and seal requirements

Signed and sealed date - The date the bond was signed by the surety company and their seal was affixed to the bond form.
Effective date - This is the date the bond coverage will begin.

Surety seal - The corporate seal of the surety company must be affixed to the bond form.
Principal's signatures - The organization type of your business determines the signature requirements. If your company is a

> Corporation - Two signatures are required. The president and corporate secretary must both sign the bond. If no individual is identified as the corporate secretary, another officer of the company may sign the bond.
> Partnership - Two signatures are required. Two partners must both sign the bond.
> Proprietorship - One signature is required. The sole proprietor must sign the bond.
> Limited Liability Company (LLC) filing as a corporation, partnership, or single member - One signature is required. Any manager or member of the LLC may sign the bond.

Surety signature - A surety company attorney-in-fact must sign and print his or her name. The name of the individual who signs for the surety company must appear on the required power of attorney attached to the bond.
Countersignature - A countersignature is only required if an independent insurance company is writing the bond agreement on behalf of the surety company. Provide the name and address of the independent agent.
Power of attorney - An original power of attorney must accompany the bond.

## Part 1: Financial responsibility bond type and number

a Bond type: $\qquad$
b Financial responsibility bond number:

## Part 2: Taxpayer and financial institution information

We, $\qquad$ (as principal)
Taxpayer's name and address
and
Name and address of surety
are bound to the people of the State of Illinois in the penal sum of \$ $\qquad$ . We hereby bind ourselves, our heirs, executors, administrators, successors, and assigns to the payment of this amount.
The condition of this bond is that if the principal (taxpayer) identified above, who has applied for the tax responsibility (bond type) identified above, in Part 1, pays to the Illinois Department of Revenue (IDOR) all amounts becoming due from the principal (taxpayer) under this law, then the bond will become void; otherwise, the bond will remain in full force.

The surety identified above may conditionally cancel this bond at any time by filing a written notice with IDOR by registered or certified mail within 90 days. However, cancellation does not discharge the surety from any liability previously accrued under this bond or that may accrue before the 90 days expire.

Part 3: Financial responsibility bond signatures and seal requirements
We have signed and sealed this bond on $\qquad$ to be effective $\qquad$ 1__ $\qquad$ -. You must attach a power of attorney.

## (Principal's seal)

## (Surety's seal)

Principal's (taxpayer) signature

Second principal's signature, if applicable

President's or co-partner's signature

Corporate secretary's signature

Surety company attorney-in-fact's signature

Attorney-in-fact's printed name
Countersigned by

Agent for surety

Number and street

| City | State | ZIP |
| :--- | :--- | :--- |

For official use only
Date approved: $\overline{\text { Month }} \frac{1}{\text { Day }}-1 \overline{\text { Year }}-$ —
IDOR Director's signature
License number: $\qquad$

## Who must submit a bond?

Form REG-4-D, Financial Institution Irrevocable Letter of Credit Bond, or Form REG-4-A, Financial Responsibility Bond, is required to complete your registration to be licensed as a

- cigarette distributor - tobacco products distributor
- cigarette machine operator
- liquor distributor
- motor fuel distributor
- motor fuel supplier
- direct wine shipper - motor fuel receiver
- liquor airline

Note: International Fuel Tax Agreement (IFTA) carriers may be required to obtain a bond.
You must complete either Form REG-4-D or Form REG-4-A to obtain a bond. You will not be issued a certificate of registration and cannot be legally registered to do business in Illinois until we receive your bond.

## How do I obtain a bond using Form REG-4-D?

You must provide Form REG-4-D to the financial institution that will be providing your bond. A separate bond is required for each location.

## What is required for Form REG-4-D?

All signatures and seals must be original. We will not accept copies, faxes, etc., as proof of bond coverage. Duplicate originals must be marked as such and must meet the same requirements as the original bond form.

If any of the above requirements are not met, we will not accept the bond and it will be returned for correction.

## Where do I send my Form REG-4-D?

Mail your completed Form REG-4-D with any required attachments to us at


CENTRAL REGISTRATION DIVISION 3-222 ILLINOIS DEPARTMENT OF REVENUE PO BOX 19039
SPRINGFIELD IL 62794-9039
If you have questions regarding Form REG-4-D, visit our website at tax.illinois.gov or call us weekdays between 8:00 a.m. and 4:30 p.m. at 217 558-7425.

## Specific Instructions

## Part 1: Financial institution letter of credit bond type and number

Line a, Bond type - The bond type must match the tax type for which the bond is being issued.
Line b, Financial institution irrevocable letter of credit number This number is assigned by the financial institution and must be present on the bond.
Line c, Bond amount - The amount of bond coverage must be entered on this line.

## Part 2: Taxpayer and financial institution information

Taxpayer - The name and address must be identical to the information that you have registered with us. The address must be the physical location of your business; mailing addresses, PO Boxes, and other addresses are not acceptable.
Financial institution - The name and address of the financial institution executing the bond must be present.

## Part 3: Effective and maturity date of bond

Effective date - This is the date the bond coverage will begin.
Maturity date - This is the date on which the letter of credit will mature.

## Part 4: Bond conditions

The letter of credit must be written for a minimum of one year and be automatically renewable for successive one-year periods unless we receive written notice of cancellation 30 days prior to the maturity date.

## Part 5: Financial institution officer information

Name, title, and signature - These lines must be completed by the financial institution officer authorizing the letter of credit.

## Part 6: Financial institution seal

Financial institution seal - An official seal must be affixed in Part 6. If the financial institution does not have an official seal, a letter, on financial institution letterhead, stating that the financial institution does not have an official seal must accompany the letter of credit.

Illinois Department of Revenue
REG-4-D Financial Institution Irrevocable Letter of Credit Bond

## Part 1: Financial institution letter of credit bond type and number

a Bond type:
b Financial institution irrevocable letter of credit number: $\qquad$
c Bond amount: \$ $\qquad$
Part 2: Taxpayer and financial institution information Taxpayer:

Financial institution:

| Name |  |  | Name |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Street address |  |  | Street address |  |  |
| City | State | ZIP | City | State | ZIP |

## Part 3: Effective and maturity date of bond



## Part 4: Bond conditions

If the taxpayer identified above, in Part 2, fails to pay the lllinois Department of Revenue (IDOR) all moneys, including penalties and interest, due under this bond type's tax act, IDOR is authorized to draw drafts on demand against this irrevocable letter of credit. The sum of the drafts drawn against this irrevocable letter of credit cannot exceed the bond amount above, in Part 1, and drafts drawn against it are payable on demand. This letter of credit is issued for a period of one year and will be renewed automatically for successive one-year periods unless IDOR receives a written notice of cancellation 30 days prior to the maturity date.

## Part 5: Financial institution officer information

The undersigned officer of the financial institution identified above, in Part 2, is duly authorized by the Board of Directors to execute this irrevocable letter of credit; and this financial institution will honor all drafts on demand. The name of the authorized financial institution officer, title, and signature are required.

Name: $\qquad$ Title: $\qquad$
Signature: $\qquad$

## Part 6: Financial institution seal

The official seal of the financial institution must be affixed below.

## For official use only

Date approved:
 IDOR Director's signature

License number: $\qquad$


[^0]:    IMPORTANT NOTICE: THE ILLINOIS LIQUOR CONTROL COMMISSION IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY UNDER THE ILLINOIS LIQUOR CONTROL ACT ( 235 ILCS $5 / 1$ ET SEQ). DISCLOSURE OF THIS INFORMATION IS MANDATORY. FAILURE TO PROVIDE ANY INFORMATION WILL RESULT IN THE NON-ISSUANCE OF YOUR LICENSE.

